

#57 Responsible Algorithmics: On the Ethics of Machine Learning in Neuroscience

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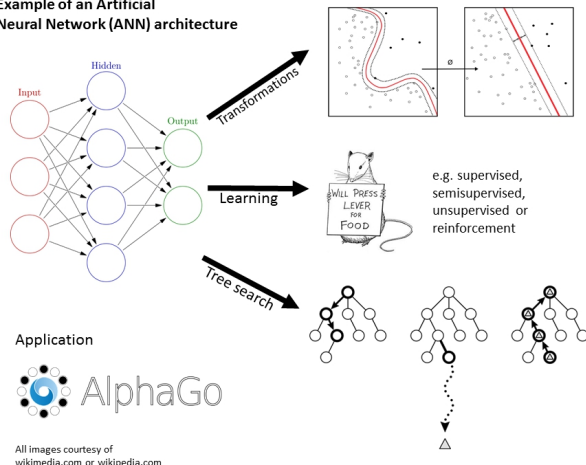


Main points

- ❖ Machine learning algorithms, particularly artificial neural networks for **deep learning**, are increasingly used for research and clinical applications in neuroscience
- ❖ The increased decision-making capacity of intelligent systems may create an **accountability gap**
- ❖ Human values, biases and prejudices – basic **human fallibility** – may be transferred to algorithms and robots
- ❖ Devices based on machine learning may be vulnerable to **hacking** or other **malicious interaction** with third parties
- ❖ Having responsible humans train algorithms – “**digital parenting**” – may prevent misuse and enhance safety
- ❖ Inscrutability of the algorithms’ decision pathways and diminished human accountability may create **regulatory gaps**
- ❖ Regulatory guidance and laws for the licensing of medicinal products with machine learning should be reviewed

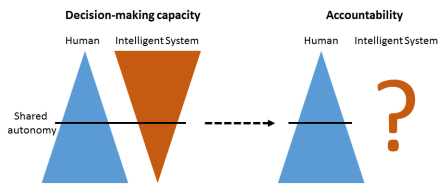
What is machine learning?

Example of an Artificial Neural Network (ANN) architecture

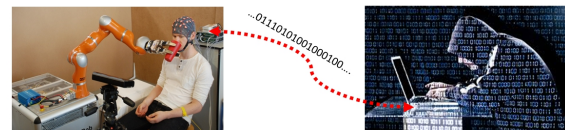


Ethical challenges from machine learning

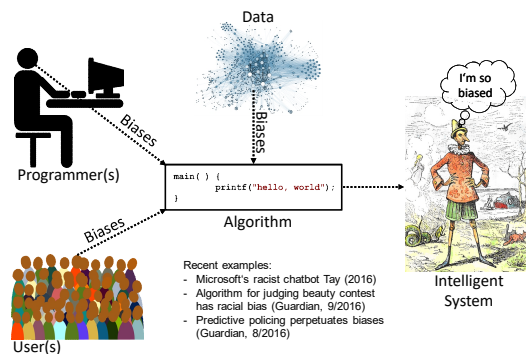
❖ Mind the accountability gap [5,6]



❖ Safety and privacy of neural data



❖ The human fallibility trap and “digital parenting” [7]



Machine learning in neuroscience

❖ Classifying and predicting: Precision medicine

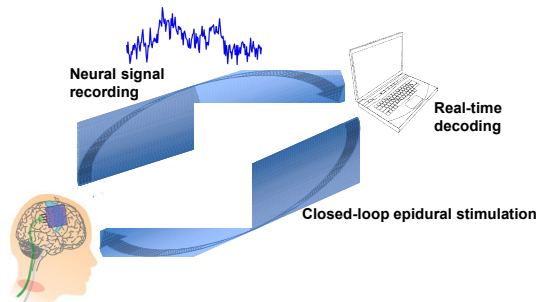
Image classification: Diabetic retinopathy, small-cell lung cancer, normal vs. pathological lymph nodes

Neuroimaging as biomarker: Alzheimer's dementia [1], Parkinson's disease vs. atypical Parkinson syndromes

Prediction: Predicting outcome after stroke, predicting severity and persistence of depressive symptoms [2] or outcome in psychosis [3]

❖ Analysing and intervening: Predictive brain implants

Closed-loop brain-computer interface (BCI)



Other examples: insulin pumps, deep brain stimulation for Parkinson's disease [4]

Regulatory aspects

❖ Regulatory gaps for machine learning applications

Regulatory bodies (FDA, EMA) are struggling to keep up with innovations in computer science leading to a *patchwork of guidance and laws*

❖ Top-down vs. bottom-up models of regulation

Top-down: Governance relies on expert opinions (“expertocracy”), discussions behind “closed doors”

Bottom-up: The “deliberative turn” in democratic theory emphasises the importance of the public sphere and **participatory models** of political-decision making as indicators for *good governance*



References

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- [2] Kessler RC, van Loo HM, Wardenar KJ, et al. Testing a machine-learning algorithm to predict the persistence and severity of major depressive disorder from baseline self-reports. Mol Psychiatry. 2016 Oct;21(10):1366-71.
- [3] Young J, Kempton MJ, McGuire P. Using machine learning to predict outcomes in psychosis. Lancet Psychiatry. 2016 Oct;3(10):908-909.
- [4] Shamir RR, Dolber T, Neecker AM, Walter BL, McIntyre CC., Machine Learning Approach to Optimizing Combined Stimulation and Medication Therapies for Parkinson's Disease.. Brain Stimul. 2015 Nov-Dec;8(6):1025-32.
- [5] Kellmeyer P, Cochran T, Müller O, Mitchell C, Ball T, Fins JJ, Biller-Andorno N. The Effects of Closed-Loop Medical Devices on the Autonomy and Accountability of Persons and Systems. Camb Q Healthc Ethics. 2016 Oct;25(4):623-33.
- [6] see also: Kellmeyer P. "Mind the accountability gap: on the ethics of shared autonomy between humans and intelligent medical devices". Practical Ethics blog, University of Oxford, October 7th 2016: <http://blog.practicalethics.ox.ac.uk/2016/10/guest-post-mind-the-accountability-gap-on-the-ethics-of-shared-autonomy-between-humans-and-intelligent-medical-devices/>
- [7] see also: Kellmeyer P. "On the ethics of machine learning applications in clinical neuroscience". The Neuroethics Blog, November 8th 2016. <http://www.theneuroethicsblog.com/>

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